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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/416,007	10/08/1999	LESLIE JAMES WILDING	19260-175/BS	5570
36192	7590	04/19/2004	EXAMINER	
CANTOR COLBURN LLP			WEST, LEWIS G	
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BLOOMFIELD, CT 06002			PAPER NUMBER	

2682

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DATE MAILED: 04/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

09/416,007

**Applicant(s)**

WILDING, LESLIE JAMES

**Examiner**

Lewis G. West

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 03 February 2004.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-4,7-14 and 17-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4,7-14 and 17-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 08 October 1999 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                                                        |                                                                                         |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                                                       | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____                                                |

***Response to Arguments***

1. Applicant's arguments with respect to claims 1-4, 7-14 and 17-19 have been considered but are moot in view of the new ground(s) of rejection.

Applicant has now altered the scope of the claims, but the previously rejected structure is still the same. The addition of an intended use, especially one as well known as frequency hopping, is not patentably distinct.

In response to applicant's argument that the filtered frequencies may be used for frequency hopping, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. In a claim drawn to a process of making, the intended use must result in a manipulative difference as compared to the prior art. See *In re Casey*, 152 USPQ 235 (CCPA 1967) and *In re Otto*, 136 USPQ 458, 459 (CCPA 1963).

***Claim Rejections - 35 USC § 103***

2. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

3. Claims 1-4, 7-14 and 17-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nuding (US 3,636,452) in view of Taira et al (US 5,659,886) further in view of Examiner's Official Notice.

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Regarding claim 1, Nuding discloses a system for coupling a base station transmitter and a base station receiver to an antenna (Figure 1, item A) for a radio system, comprising: an antenna for radiating transmit signals to and receiving receive signals (A); a plurality of receive branch networks (E1~En), coupled to the antenna via a receive path and to the base receiver (E1), each operative to select a frequency range of the receive signals for reception by the base station receiver and to pass the receive signals for processing by the remaining receive branch networks located in a downstream portion of the receive path (col. 2 lines 56-68); and a plurality of transmit branch networks, coupled to the antenna via a transmit path and to the base transmitter, each operative to select a frequency portion of the transmit signals transmitted by the base transmitter for forwarding to the antenna and to accept all transmit signals forwarded by the remaining transmit branch networks in a downstream portion of the transmit path for forwarding to the antenna. (S1-Sn; col. 2 lines 33-55) and further comprising a bandpass filter, coupled between the antenna circulator and the receive path, for passing receive signals within a predetermined frequency range from the antenna circulator to the receive path while preventing the passage of transmit signals from the circulator to the receiver path wherein each receive branch network includes a receive filter passing a block of frequencies to a base receiver corresponding to a passband. (Figure 1; col. 2 line 69-col. 3 line 29) Nuding does not expressly disclose an isolator coupled between the circulator and the transmit path in a CMRS system, nor does Nuding expressly disclose frequency hopping. Taira discloses an isolator, coupled between the antenna circulator and the transmit path, for passing transmit signals to the antenna circulator from the transmit path while preventing the passage of receive signal from the antenna to the transmit path in a commercial radio system. (Figure 1, 2, col. 2 lines 13-42) Therefore it would

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have been obvious to one of ordinary skill in the art in a commercial radio system, to use an isolator coupled between the circulator and the transmit path in order to prevent electrical interference from frequency signals received at the receiver which may cause noise. Examiner takes official notice that frequency hopping is notoriously well known to one of ordinary skill in the art at the time of the invention and results in no structural change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use frequencies from an existing filter for the intended use of frequency hopping in order to prevent interference in communications.

Regarding claim 2, the combination of Nuding and Taira discloses the system of Claim 1, wherein each receive branch network comprises: a circulator, comprising a first port and a third port coupled to the receive path and a second port, operative to accept receive signals from an upstream portion of the receive path via the first port, to pass the receive signals via the second port to the third port and to the remaining receive branch networks located in the downstream portion of the receive path, and to output the receive signals via the second port; and a filter, coupled between the second port of the circulator and the base receiver, operative to accept the receive signals from the second port of the circulator and to select the frequency range of the receive signals for processing by the base receiver.(Figure 1; col. 2 lines 33-72)

Regarding claim 3, the combination of Nuding and Taira discloses the system of Claim 1, wherein each transmit branch network comprises: a filter, coupled to the base transmitter, operative to output filtered transmit signals in response to select the frequency portion of the transmit signal generated by the base transmitter; and a circulator, comprising a first port and a third port coupled to the transmit path and a second port coupled to the filter, operative to accept

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at the second port the filtered transmit signals for forwarding via the third port to an upstream portion of the transmit path and to accept at the first port the transmit signals output by remaining transmit branch networks located in the downstream portion of the transmit path for forwarding via the third port to the upstream portion of the transmit path. (Figure 1; col. 2 lines 33-72)

Regarding claim 4, the combination of Nuding and Taira discloses the system of Claim 1 further comprising an antenna circulator, coupled between the receive and transmit paths and to the antenna, for directing receive signals from the antenna to the receive path and transmit signals from the transmit path to the antenna. (Figure 1; col. 2-line 69-col. 3 line 2)

Regarding claim 9, the combination of Nuding and Taira discloses the system of Claim 2, wherein the filter of each receive branch network comprises a filtering characteristic selected from the group of bandpass, high pass and low pass filter characteristics. (Nuding: Col. 2 lines 33-72)

Regarding claim 11, the combination of Nuding and Taira discloses the system of Claim 3, wherein the filter of each transmit branch network comprises a filtering characteristic selected from the group of bandpass, high pass and low pass filter characteristics. (Nuding: Col. 2 lines 33-72)

Regarding claim 12, Nuding discloses a method for coupling a base station transmitter and a base station receiver to an antenna for a radio service system, the antenna coupled to a receive path comprising receive branch networks for processing receive signals and to a transmit path comprising transmit branch networks for processing transmit signals, comprising the steps: at each of the receive branch networks, selecting a frequency range of receive signals for

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reception by one of the base station receivers and passing the receive signals to the receive branch networks located in a downstream portion of the receive path for processing by the remaining base station receivers; and at each of the transmit branch networks, selecting a frequency portion of transmit signals transmitted by one of the base transmitters for forwarding to the antenna and accepting all transmit signals forwarded by the remaining transmit branch networks located in a downstream portion of the transmit path for forwarding to the antenna. Nuding does not expressly preventing received signals in the transmit path transmit path in a CMRS system, nor does Nuding expressly disclose frequency hopping.. Taira discloses an isolator, coupled between the antenna circulator and the transmit path, for passing transmit signals to the antenna circulator from the transmit path while preventing the passage of receive signal from the antenna to the transmit path in a commercial radio system. (Figure 1, 2, col. 2 lines 13-42) Therefore it would have been obvious to one of ordinary skill in the art in a commercial radio system, to use an isolator coupled between the circulator and the transmit path in order to prevent electrical interference from frequency signals received at the receiver which may cause noise. Examiner takes official notice that frequency hopping is notoriously well known to one of ordinary skill in the art at the time of the invention and results in no structural change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use frequencies from an existing filter for the intended use of frequency hopping in order to prevent interference in communications.

Regarding claim 13, Nuding discloses a system for coupling a base station transmitter and a base station receiver to an antenna for a radio system; a plurality of receive branch networks, coupled to the antenna via a receive path and to the base receiver, each comprising: a

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circulator, comprising a first port and a third port coupled to the receive path and a second port, operative to accept receive signals from an upstream portion of the receive path via the first port, to pass the receive signals via the second port to the third port and to the remaining receive branch networks located in the downstream portion of the receive path, and to output the receive signals via the second port, and a filter, coupled between the second port of the circulator and the base receiver, operative to accept the receive signals from the second port of the circulator and to select a frequency range of the receive signals for processing by the base receiver; and a plurality of transmit branch networks, coupled to the antenna via a transmit path and to the base transmitter, each comprising: a filter, coupled to the base transmitter, operative to output filtered transmit signals in response to selecting a frequency portion of the transmit signal generated by the base transmitter, and a circulator, comprising a first port and a third port coupled to the transmit path and a second port coupled to the filter, operative to accept at the second port the filtered transmit signals for forwarding via the third port to an upstream portion of the transmit path and to accept at the first port the transmit signals output by remaining transmit branch networks located in the downstream portion of the transmit path for forwarding via the third port to the upstream portion of the transmit path. (Col. 2 lines 33-72; Fig.1) Nuding does not expressly disclose an isolator coupled between the circulator and the transmit path in a CMRS system, nor does Nuding disclose frequency hopping. Taira discloses an isolator, coupled between the antenna circulator and the transmit path, for passing transmit signals to the antenna circulator from the transmit path while preventing the passage of receive signal from the antenna to the transmit path in a commercial radio system. (Figure 1, 2, col. 2 lines 13-42) Therefore it would have been obvious to one of ordinary skill in the art in a commercial radio system, to use



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an isolator coupled between the circulator and the transmit path in order to prevent electrical interference from frequency signals received at the receiver which may cause noise. Examiner takes official notice that frequency hopping is notoriously well known to one of ordinary skill in the art at the time of the invention and results in no structural change. Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use frequencies from an existing filter for the intended use of frequency hopping in order to prevent interference in communications.

Regarding claim 14, the combination of Nuding and Tara discloses the system of Claim 13 further comprising an antenna circulator, coupled between the receive and transmit paths and to the antenna, for directing receive signals from the antenna to the receive path and transmit signals from the transmit path to the antenna. (Figure 1; col. 2 line 69-col. 3 line 2)

Regarding claim 18, the combination of Nuding and Watanabe and Amoroso discloses the system of Claim 13, wherein the number of receive branch networks are equal to the number of transmit branch networks. (Nuding: Figure 1)

4. Claims 7, 8 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nuding in view of Taira and further in view of Watanabe.

Regarding claim 7, the combination of Nuding and Taira discloses the system of Claim 1, but does not expressly disclose that the base receiver comprises a plurality of channel receivers, each allocated a unique frequency range and coupled to one of the receive branch networks for processing receive signals within the unique frequency range. Watanabe discloses the base receiver comprises a plurality of channel receivers, each allocated a unique frequency range and

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coupled to one of the receive branch networks for processing receive signals within the unique frequency range. (Watanabe, col. 5 lines 31-54; col. 6 line 57-col. 7 line 6) Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to use a different frequency range in each receiver to promote faster switching.

Regarding claim 8, the combination of Nuding and Taira discloses the system of Claim 1, but does not expressly disclose that the base transmitter comprises a plurality of transmitters, each allocated a unique frequency range and coupled to one of the transmit branch networks for generating transmit signals within the unique frequency range. Watanabe discloses the base transmitter comprises a plurality of transmitters, each allocated a unique frequency range and coupled to one of the transmit branch networks for generating transmit signals within the unique frequency range. (Watanabe col. 5 lines 31-54) Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to use a different frequency range in each receiver to promote faster switching.

Regarding claim 17, the combination of Nuding and Taira discloses the system of Claim 13, but does not expressly disclose that the base receiver comprises a plurality of channel receivers, each allocated a unique frequency range and coupled to one of the receive branch networks for processing receive signals within the unique frequency range; and the base transmitter comprises a plurality of transmitters, each allocated a unique frequency range and coupled to one of the transmit branch networks for generating transmit signals within the unique frequency range. (Watanabe, col. 5 lines 31-54; col. 6 line 57-col. 7 line 6) Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to use a different frequency range in each receiver to promote faster switching.

5. Claims 10 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nuding in view of Taira and further in view of Solondz (US 6,314,305).

Regarding claim 10, the combination of Nuding and Taira discloses the system of Claim 1, but does not disclose an unequal number of branches. Solondz discloses a transmitter receiver array wherein the number of receive branch networks is not equal to the number of transmit branch networks. (Figure 6; col. 1 lines 42-58) Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to use more transmit or more receive branches for the purpose of promoting diversity in transmission or reception, respectively.

Regarding claim 19, the combination of Nuding and Taira discloses the system of Claim 13, but does not disclose an unequal number of branches. Solondz discloses a transmitter receiver array wherein the number of receive branch networks is not equal to the number of transmit branch networks. (Figure 6; col. 1 lines 42-58) Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to use more transmit or more receive branches for the purpose of promoting diversity in transmission or reception, respectively.

### ***Conclusion***

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

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A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lewis G. West whose telephone number is 703-308-9298. The examiner can normally be reached on Monday-Thursday 6:30-5:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Vivian Chin can be reached on 703-308-6739. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Lewis West



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